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DATE: September 13, 2006
OFFICIAL PAPER

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Please deliver this and the following pages to:

Examiner: **Jim Trammell (571) 272-6712 (Voice)**
U.S.P.T.O. Group Art Unit: **3621**
Telecopier No.: **(571) 273-6712 (fax)**
U.S. Serial No.: **09/836,524**
Client/Matter No.: **MSFT-0260**
Sender's Name: **Jerome G. Schaefer (215) 557-5945 (Voice)**
Pages to Follow: **8**

If transmission is not complete, please call our Philadelphia Office at (215) 568-3100.

COVER MESSAGE:

OFFICIAL FACSIMILE. PLEASE DELIVER TO EXAMINER IMMEDIATELY.

Examiner Trammell,

Per our telephone conversation today concerning the above-referenced patent application,

Attached hereto is/are the following documents:

- 1) A copy of the transmittal sheet for the 8/12/2005 Supplemental IDS.
- 2) A copy of form PTO-1449 showing references 7-31.
- 3) A copy of the cancelled check for the Supplemental IDS.

Please contact me if you have any questions.

Jerome G. Schaefer jschaefer@woodcock.com

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERY OF THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

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DOCKET NO.: MSFT-0260/158416.02

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Marco A. De Mello, et al.

Confirmation No.: 6581

Application No.: 08/836,524

Group Art Unit: 3621

Filing Date: April 17, 2001

Examiner: Agwumezie, Charles C

For: METHOD FOR AUTHENTICATING AND SECURING INTEGRATED
BOOKSTORE ENTRIES

DATE OF DEPOSIT: August 12, 2005

I HEREBY CERTIFY THAT THIS PAPER IS BEING
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Susan C. Murphy

TYPED NAME: Susan C. Murphy
REGISTRATION NO.: 46,221

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☐ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

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DOCKET NO.: MSFT-0260/158416.02 - 2 -

PATENT

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☒ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☒ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of reference numbers 7 thru 31 listed on the attached Form PTO-1449 are enclosed herewith.

☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

DOCKET NO.: MSFT-0260/158416.02 - 3 -

PATENT

There are no listed references which are not in the English language.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: *August 11, 2005*

Susan C. Murphy
Susan C. Murphy
Registration No. 46,221

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Sheet 1 of 3

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. MSFT-0260/158416.02	Application No. 08/836,514
		Applicant Marco A. De Mello, et al.	
		Filing Date April 17, 2001	Group 3621
		Confirmation No. 6581	
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
	7	Takahashi, K., <i>et al.</i> , "Intelligent Pages: Collecting Shop and Service Information With Software Agents," <i>Applied Artificial Intelligence</i> , September, 1997, 11(6), 489-499	
	8	Yoon, Seong Soon, <i>et al.</i> , "Prototype Development of Directory Interface on Web Browser," <i>Computer & Software Technol. Lab., ETRI</i> , February 15-18, 1999, 90-92	
	9	Uehara, S., <i>et al.</i> , "Enterprise Model-Based Software Architecture With Server Component Integration," <i>Fujitsu Laboratories Ltd.</i> , 1998, 356-363	
	10	"OpeneBook™ Publications Structure 1.0.1", Recommended Specification July 2, 2001, www.openebook.org/oebpsdownload.htm	
EXAMINER		DATE CONSIDERED	

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Sheet 2 of 3

Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0260/158416.02	Application No. 08/836,524
	Applicant Marco A. De Mello, et al.	
	Filing Date April 17, 2001	Group 3621
	Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	11	5,832,451	11/03/98	Flake, et al.	705	5
	12	5,983,245	11/09/99	Newman, et al.	707	513
	13	6,133,916	10/17/00	Bukszar, et al.	345	335
	14	6,195,667 B1	02/27/01	Duga, et al.	715	513
	15	6,324,288 B1	11/27/01	Hoffman, Jeffrey D.	380	249
	16	6,331,865 B1	12/18/01	Sachs, et al.	345	776
	17	6,363,356 B1	03/26/02	Horstmann, Cay S.	705	26
	18	6,636,896 B1	10/21/03	Philyaw, Jeffry Jovan	709	238
	19	6,694,320 B1	02/17/04	Ortiz, et al.	707	101
	20	6,753,887 B2	06/22/04	Carolan, et al.	345	764

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
EXAMINER				DATE CONSIDERED		

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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-0260/158416.02	Application No. 08/836,524
	Applicant Marco A. De Mello, et al.	
	Filing Date April 17, 2001	Group 3621
	Confirmation No. 6581	

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass
	21	2002/0033844 A1	03/21/02	Levy, et al.	345	744
	22	2002/0065772 A1	05/30/02	Saliba, et al.	705	40
	23	2002/0120507 A1	08/29/02	Chanos, et al.	705	14
	24	2002/0138555 A1	09/26/02	Yu, Allen Kai-Lang	709	203
	25	2002/0143813 A1	10/03/02	Jellum, et al.	707	511
	26	2002/0169805 A1	11/14/02	Edge, Christopher J.	707	528
	27	5,835,732	11/10/98	Kikinis, et al.	395	281
	28	5,958,051	09/28/99	Renaud, et al.	713	200
	29	6,018,724	01/25/00	Arent, Michael A.	705	44
	30	6,037,935	03/14/00	Bates, et al.	345	760
	31	6,311,269 B2	10/30/01	Luckenbaugh, et al.	713	154
Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
EXAMINER				DATE CONSIDERED		

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